

# AN EXTREMAL PROBLEM ON POTENTIALLY $K_{r+1} - (kP_2 \cup tK_2)$ -GRAPHIC SEQUENCES

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**ABSTRACT.** A graphic sequence  $S$  is potentially  $K_m - H$ -graphical if it has a realization containing a  $K_m - H$  as a subgraph. Let  $\sigma(K_m - H, n)$  denote the smallest degree sum such that every  $n$ -term graphical sequence  $S$  with  $\sigma(S) \geq \sigma(K_m - H, n)$  is potentially  $K_m - H$ -graphical. In this paper, we determine  $\sigma(K_{r+1} - (kP_2 \cup tK_2), n)$  for  $n \geq 4r + 10, r + 1 \geq 3k + 2t, k + t \geq 2, k \geq 1, t \geq 0$ . To now, the problem of determined  $\sigma(K_{r+1} - H, n)$  for  $H$  not containing a cycle on 3 vertices and sufficiently large  $n$  has been solved.

## 1. INTRODUCTION

The set of all non-increasing nonnegative integer sequences  $\pi = (d_1, d_2, \dots, d_n)$  is denoted by  $NS_n$ . A sequence  $\pi \in NS_n$  is said to be graphic if it is the degree sequence of a simple graph  $G$  on  $n$  vertices, and such a graph  $G$  is called a realization of  $\pi$ . The set of all graphic sequences in  $NS_n$  is denoted by  $GS_n$ . A graphical sequence  $\pi$  is potentially  $H$ -graphical if there is a realization of  $\pi$  containing  $H$  as a subgraph, while  $\pi$  is forcibly  $H$ -graphical if every realization of  $\pi$  contains  $H$  as a subgraph. If  $\pi$  has a realization in which the  $r + 1$  vertices of largest degree induce a clique, then  $\pi$  is said to be potentially  $A_{r+1}$ -graphic. Let  $\sigma(\pi) = d(v_1) + d(v_2) + \dots + d(v_n)$ , and  $[x]$  denote the largest integer less than or equal to  $x$ . If  $G$  and  $G_1$  are graphs, then  $G \cup G_1$  is the disjoint union of  $G$  and  $G_1$ . If  $G = G_1$ , we abbreviate  $G \cup G_1$  as  $2G$ . We denote  $G + H$  as the graph with  $V(G + H) = V(G) \cup V(H)$  and  $E(G + H) = E(G) \cup E(H) \cup \{xy : x \in V(G), y \in V(H)\}$ . Let  $K_k, C_k, T_k$ , and  $P_k$  denote a complete graph on  $k$  vertices, a cycle on  $k$  vertices, a tree on  $k + 1$  vertices, and a path on  $k + 1$  vertices, respectively. Let  $K_m - H$  be the graph obtained from  $K_m$  by removing the edge set  $E(H)$  of the graph  $H$  ( $H$  is a subgraph of  $K_m$ ).

Given a graph  $H$ , what is the maximum number of edges of a graph with  $n$  vertices not containing  $H$  as a subgraph? This number is denoted  $ex(n, H)$ , and is known as the Turán number. This problem was proposed for  $H = C_4$  by Erdős [2] in 1938 and in general by Turán [20]. In terms of graphic sequences, the number  $2ex(n, H) + 2$  is the minimum even integer  $l$  such that every  $n$ -term graphical sequence  $\pi$  with  $\sigma(\pi) \geq l$  is

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forcibly  $H$ -graphical. Here we consider the following variation: determine the minimum even integer  $l$  such that every  $n$ -term graphical sequence  $\pi$  with  $\sigma(\pi) \geq l$  is potentially  $H$ -graphical. We denote this minimum  $l$  by  $\sigma(H, n)$ . Erdős, Jacobson and Lehel [4] showed that  $\sigma(K_k, n) \geq (k-2)(2n-k+1)+2$  and conjectured that equality holds. They proved that if  $\pi$  does not contain zero terms, this conjecture is true for  $k = 3, n \geq 6$ . The conjecture is confirmed in [5],[15],[16],[17] and [18]. Recently, Ferrara, Gould and Schmitt [7] proved the conjecture using graph theoretic techniques. Ferrara, Gould and Schmitt [8] determined in  $\sigma(F_k, n)$  where  $F_k$  denotes the graph of  $k$  triangles intersecting at exactly one common vertex.

Gould, Jacobson and Lehel [5] also proved that  $\sigma(pK_2, n) = (p-1)(2n-2)+2$  for  $p \geq 2$ ;  $\sigma(C_4, n) = 2\lceil \frac{3n-1}{2} \rceil$  for  $n \geq 4$ . Luo [19] characterized the potentially  $C_k$  graphic sequences for  $k = 3, 4, 5$ . Gupta, Joshi and Tripathi [6] gave a necessary and sufficient condition for the existence of a tree of order  $n$  with a given degree set. Meng-Xiao Yin, Jian-Hua Yin [28] characterize the potentially  $(K_5 - e)$ -positive graphic sequences and give two simple necessary and sufficient conditions for a positive graphic sequence  $\pi$  to be potentially  $K_5$ -graphic, where  $K_r$  is a complete graph on  $r$  vertices and  $K_r - e$  is a graph obtained from  $K_r$  by deleting one edge. Moreover, they also give a simple necessary and sufficient condition for a positive graphic sequence  $\pi$  to be potentially  $K_6$ -graphic. Gould et al. [5] determined  $\sigma(K_{2,2}, n)$  for  $n \geq 4$ . Yin et al. [23-26] determined  $\sigma(K_{r,s}, n)$  for  $s \geq r \geq 2$  and sufficiently large  $n$ . Lai [10] determined  $\sigma(K_4 - e, n)$  for  $n \geq 4$ . Yin, Li and Mao [22] determined  $\sigma(K_{r+1} - e, n)$  for  $r \geq 3, r+1 \leq n \leq 2r$  and  $\sigma(K_5 - e, n)$  for  $n \geq 5$ . Yin and Li [21] gave a good method (Yin-Li method) of determining the values  $\sigma(K_{r+1} - e, n)$  for  $r \geq 2$  and  $n \geq 3r^2 - r - 1$  (In fact, Yin and Li [21] also determining the values  $\sigma(K_{r+1} - ke, n)$  for  $r \geq 2$  and  $n \geq 3r^2 - r - 1$ ). After reading [21], using Yin-Li method Yin [27] determined  $\sigma(K_{r+1} - K_3, n)$  for  $n \geq 3r+5, r \geq 3$ . Lai [11] determined  $\sigma(K_5 - K_3, n)$  for  $n \geq 5$ . Lai [12,13] determined  $\sigma(K_5 - C_4, n), \sigma(K_5 - P_3, n)$  and  $\sigma(K_5 - P_4, n)$ , for  $n \geq 5$ . Determining  $\sigma(K_{r+1} - H, n)$ , where  $H$  is a tree on 4 vertices is more useful than a cycle on 4 vertices (for example,  $C_4 \not\subset C_i$ , but  $P_3 \subset C_i$  for  $i \geq 5$ ). So, after reading [21] and [27], using Yin-Li method Lai and Hu [14] determined  $\sigma(K_{r+1} - H, n)$  for  $n \geq 4r+10, r \geq 3, r+1 \geq k \geq 4$  and  $H$  be a graph on  $k$  vertices which containing a tree on 4 vertices but not containing a cycle on 3 vertices and  $\sigma(K_{r+1} - P_2, n)$  for  $n \geq 4r+8, r \geq 3$ . In this paper, using Yin-Li method we prove the following two theorems.

**Theorem 1.1.** If  $r \geq 4$  and  $n \geq 4r+10$ , then  $\sigma(K_{r+1} - (P_2 \cup K_2), n) = (r-1)(2n-r) - 2(n-r)$ .

**Theorem 1.2.** If  $n \geq 4r+10, r+1 \geq 3k+2t, k+t \geq 2, k \geq 1, t \geq 0$ , then  $\sigma(K_{r+1} - (kP_2 \cup tK_2), n) = (r-1)(2n-r) - 2(n-r)$ .

To now, the problem of determined  $\sigma(K_{r+1} - H, n)$  for  $H$  not containing a cycle on 3 vertices and sufficiently large  $n$  has been solved.

## 2. PREPARATIONS

In order to prove our main result, we need the following notations and results.

Let  $\pi = (d_1, \dots, d_n) \in NS_n$ ,  $1 \leq k \leq n$ . Let

$$\pi_k'' = \begin{cases} (d_1 - 1, \dots, d_{k-1} - 1, d_{k+1} - 1, \dots, d_{d_k+1} - 1, d_{d_k+2}, \dots, d_n), \\ \text{if } d_k \geq k, \\ (d_1 - 1, \dots, d_{d_k} - 1, d_{d_k+1}, \dots, d_{k-1}, d_{k+1}, \dots, d_n), \\ \text{if } d_k < k. \end{cases}$$

Denote  $\pi_k' = (d_1', d_2', \dots, d_{n-1}')$ , where  $d_1' \geq d_2' \geq \dots \geq d_{n-1}'$  is a rearrangement of the  $n-1$  terms of  $\pi_k''$ . Then  $\pi_k'$  is called the residual sequence obtained by laying off  $d_k$  from  $\pi$ .

**Theorem 2.1[21]** Let  $n \geq r+1$  and  $\pi = (d_1, d_2, \dots, d_n) \in GS_n$  with  $d_{r+1} \geq r$ . If  $d_i \geq 2r-i$  for  $i = 1, 2, \dots, r-1$ , then  $\pi$  is potentially  $A_{r+1}$ -graphic.

**Theorem 2.2[21]** Let  $n \geq 2r+2$  and  $\pi = (d_1, d_2, \dots, d_n) \in GS_n$  with  $d_{r+1} \geq r$ . If  $d_{2r+2} \geq r-1$ , then  $\pi$  is potentially  $A_{r+1}$ -graphic.

**Theorem 2.3[21]** Let  $n \geq r+1$  and  $\pi = (d_1, d_2, \dots, d_n) \in GS_n$  with  $d_{r+1} \geq r-1$ . If  $d_i \geq 2r-i$  for  $i = 1, 2, \dots, r-1$ , then  $\pi$  is potentially  $K_{r+1} - e$ -graphic.

**Theorem 2.4[21]** Let  $n \geq 2r+2$  and  $\pi = (d_1, d_2, \dots, d_n) \in GS_n$  with  $d_{r-1} \geq r$ . If  $d_{2r+2} \geq r-1$ , then  $\pi$  is potentially  $K_{r+1} - e$ -graphic.

**Theorem 2.5[9]** Let  $\pi = (d_1, \dots, d_n) \in NS_n$  and  $1 \leq k \leq n$ . Then  $\pi \in GS_n$  if and only if  $\pi_k' \in GS_{n-1}$ .

**Theorem 2.6[3]** Let  $\pi = (d_1, \dots, d_n) \in NS_n$  with even  $\sigma(\pi)$ . Then  $\pi \in GS_n$  if and only if for any  $t, 1 \leq t \leq n-1$ ,

$$\sum_{i=1}^t d_i \leq t(t-1) + \sum_{j=t+1}^n \min\{t, d_j\}.$$

**Theorem 2.7[5]** If  $\pi = (d_1, d_2, \dots, d_n)$  is a graphic sequence with a realization  $G$  containing  $H$  as a subgraph, then there exists a realization  $G'$  of  $\pi$  containing  $H$  as a subgraph so that the vertices of  $H$  have the largest degrees of  $\pi$ .

**Lemma 2.1 [27]** If  $\pi = (d_1, d_2, \dots, d_n) \in NS_n$  is potentially  $K_{r+1} - e$ -graphic, then there is a realization  $G$  of  $\pi$  containing  $K_{r+1} - e$  with the  $r+1$  vertices  $v_1, \dots, v_{r+1}$  such that  $d_G(v_i) = d_i$  for  $i = 1, 2, \dots, r+1$  and  $e = v_r v_{r+1}$ .

**Lemma 2.2 [14]** Let  $n \geq r+1$  and  $\pi = (d_1, d_2, \dots, d_n) \in GS_n$  with  $d_r \geq r-1$  and  $d_{r+1} \geq r-2$ . If  $d_i \geq 2r-i$  for  $i = 1, 2, \dots, r-2$ , then  $\pi$  is potentially  $K_{r+1} - P_2$ -graphic.

**Lemma 2.3 [14]** Let  $n \geq 2r+2$  and  $\pi = (d_1, d_2, \dots, d_n) \in GS_n$  with  $d_{r-2} \geq r$ . If  $d_{2r+2} \geq r-1$ , then  $\pi$  is potentially  $K_{r+1} - P_2$ -graphic.

## 3. PROOF OF MAIN RESULTS.

**Lemma 3.1.** If  $n \geq r+1, r+1 \geq 3k+2t, k+t \geq 2, k \geq 1, t \geq 0$ , then  $\sigma(K_{r+1} - (kP_2 \cup tK_2), n) \geq (r-1)(2n-r) - 2(n-r)$ .

**Proof.** Let

$$G = K_{r-2} + \overline{K_{n-r+2}}$$

Then  $G$  is a unique realization of  $((n-1)^{r-2}, (r-2)^{n-r+2})$  and  $G$  clearly does not contain  $K_{r+1} - (kP_2 \cup tK_2)$ , where the symbol  $x^y$  means  $x$  repeats  $y$  times in the sequence. Thus  $\sigma(K_{r+1} - (kP_2 \cup tK_2), n) \geq (r-2)(n-1) + (r-2)(n-r+2) + 2 = (r-1)(2n-r) - 2(n-r)$ .

**The Proof of Theorem 1.1** According to Lemma 3.1, it is enough to verify that for  $n \geq 4r + 10$ ,

$$\sigma(K_{r+1} - (P_2 \cup K_2), n) \leq (r-1)(2n-r) - 2(n-r).$$

We now prove that if  $n \geq 4r + 10$  and  $\pi = (d_1, d_2, \dots, d_n) \in GS_n$  with

$$\sigma(\pi) \geq (r-1)(2n-r) - 2(n-r),$$

then  $\pi$  is potentially  $K_{r+1} - (P_2 \cup K_2)$ -graphic.

If  $d_{r-2} \leq r-1$ .

(1) Suppose  $d_{r-2} = r-1$  and  $\sigma(\pi) = (r-3)(n-1) + (r-1)(n-r+3)$ , then  $\pi = ((n-1)^{r-3}, (r-1)^{n-r+3})$ . Obviously  $\pi$  is potentially  $K_{r+1} - (P_2 \cup K_2)$  graphic.

(2) Suppose  $d_{r-2} = r-1$  and  $\sigma(\pi) < (r-3)(n-1) + (r-1)(n-r+3)$ , then

$$\begin{aligned} \sigma(\pi) &< (r-3)(n-1) + (r-1)(n-r+3) \\ &= (r-1)(n-1) - 2(n-1) + (r-1)(n-r+3) \\ &= (r-1)(2n-r) - 2(n-r), \end{aligned}$$

which is a contradiction.

(3) Suppose  $d_{r-2} < r-1$ , then

$$\begin{aligned} \sigma(\pi) &< (r-3)(n-1) + (r-1)(n-r+3) \\ &= (r-1)(n-1) - 2(n-1) + (r-1)(n-r+3) \\ &= (r-1)(2n-r) - 2(n-r), \end{aligned}$$

which is a contradiction.

Thus,  $d_{r-2} \geq r$  or  $\pi$  is potentially  $K_{r+1} - (P_2 \cup K_2)$  graphic.

If  $d_r \leq r-2$ , then

$$\begin{aligned} \sigma(\pi) &= \sum_{i=1}^{r-1} d_i + \sum_{i=r}^n d_i \\ &\leq (r-1)(r-2) + \sum_{i=r}^n \min\{r-1, d_i\} + \sum_{i=r}^n d_i \\ &= (r-1)(r-2) + 2 \sum_{i=r}^n d_i \\ &\leq (r-1)(r-2) + 2(n-r+1)(r-2) \\ &= (r-1)(2n-r) - 2(n-r) - 2 \\ &< (r-1)(2n-r) - 2(n-r), \end{aligned}$$

which is a contradiction. Hence  $d_r \geq r-1$ .

If  $d_{r+1} \leq r-3$ .

(1) Suppose  $d_r = n-1$ , then  $d_1 \geq d_2 \geq \dots \geq d_{r-1} \geq d_r = n-1$ , therefore  $d_1 = d_2 = \dots = d_r = n-1$ . Therefore  $d_{r+1} \geq r$ , which is a contradiction.

(2) Suppose  $d_r \leq n - 2$ , then

$$\begin{aligned}
\sigma(\pi) &= \sum_{i=1}^{r-1} d_i + d_r + \sum_{i=r+1}^n d_i \\
&\leq (r-1)(r-2) + \sum_{i=r}^n \min\{r-1, d_i\} + d_r + \sum_{i=r+1}^n d_i \\
&= (r-1)(r-2) + \min\{r-1, d_r\} + d_r + 2 \sum_{i=r+1}^n d_i \\
&\leq (r-1)(r-2) + 2d_r + 2 \sum_{i=r+1}^n d_i \\
&\leq (r-1)(r-2) + 2(n-2) + 2(n-r)(r-3) \\
&= (r-1)(2n-r) - 2(n-r) - 2 \\
&< (r-1)(2n-r) - 2(n-r),
\end{aligned}$$

which is a contradiction.

Thus  $d_{r+1} \geq r - 2$ .

If  $d_i \geq 2r - i$  for  $i = 1, 2, \dots, r - 2$  or  $d_{2r+2} \geq r - 1$ , then  $\pi$  is potentially  $K_{r+1} - (P_2 \cup K_2)$  graphic ( $\pi = ((n-1)^{r-3}, (r-1)^{n-r+3})$ ) or  $\pi$  is potentially  $K_{r+1} - P_2$ -graphic by Lemma 2.2 or Lemma 2.3. Therefore,  $\pi$  is potentially  $K_{r+1} - (P_2 \cup K_2)$ -graphic. If  $d_{2r+2} \leq r - 2$  and there exists an integer  $i$ ,  $1 \leq i \leq r - 2$  such that  $d_i \leq 2r - i - 1$ , then

$$\begin{aligned}
\sigma(\pi) &\leq (i-1)(n-1) + (2r+1-i+1)(2r-i-1) \\
&\quad + (r-2)(n+1-2r-2) \\
&= i^2 + i(n-4r-2) - (n-1) \\
&\quad + (2r-1)(2r+2) + (r-2)(n-2r-1).
\end{aligned}$$

Since  $n \geq 4r + 10$ , it is easy to see that  $i^2 + i(n-4r-2)$ , consider as a function of  $i$ , attain its maximum value when  $i = r - 2$ . Therefore,

$$\begin{aligned}
\sigma(\pi) &\leq (r-2)^2 + (n-4r-2)(r-2) - (n-1) \\
&\quad + (2r-1)(2r+2) + (r-2)(n-2r-1) \\
&= (r-1)(2n-r) - 2(n-r) - n + 4r + 9 \\
&< \sigma(\pi),
\end{aligned}$$

which is a contradiction.

Thus,  $\sigma(K_{r+1} - (P_2 \cup K_2), n) \leq (r-1)(2n-r) - 2(n-r)$  for  $n \geq 4r + 10$ .

**The Proof of Theorem 1.2** By Lemma 3.1, for  $n \geq 4r + 10, r + 1 \geq 3k + 2t, k + t \geq 2, k \geq 1, t \geq 0$ ,  $\sigma(K_{r+1} - (kP_2 \cup tK_2), n) \geq (r-1)(2n-r) - 2(n-r)$ . Obviously, for  $n \geq 4r + 10, r + 1 \geq 3k + 2t, k + t \geq 2, k \geq 1, t \geq 0$ ,  $\sigma(K_{r+1} - (kP_2 \cup tK_2), n) \leq \sigma(K_{r+1} - (P_2 \cup K_2), n)$ . By theorem 1.1, for  $n \geq 4r + 10, r \geq 4$ ,  $\sigma(K_{r+1} - (P_2 \cup K_2), n) = (r-1)(2n-r) - 2(n-r)$ . Then  $\sigma(K_{r+1} - (kP_2 \cup tK_2), n) = (r-1)(2n-r) - 2(n-r)$ , for  $n \geq 4r + 10, r + 1 \geq 3k + 2t, k + t \geq 2, k \geq 1, t \geq 0$ .

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